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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Please type a plus sign (+) inside this box

Attorney Docket No.		16U 102 R1		
First Inventor		Shu et al.		
Title	Human EphA6 Gene and Polypeptide			

(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Express Mail Label No.

APPLICATION ELEMENTS  See MPEP chapter 600 concerning within patient application contents.  ADDRESS TO:  ASsistant Commissioner for Patients Box Patent Application (Sea Patent Application (Patients)	(Only for flow hongrovision		<del></del>				===	. 5 ()
Total Pages   Total Principle   Total Pages   Total Page	APPLICATION ELEMENTS				ESS TO: Box	Patent A	oplicati	ion
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See 37 CFR 1.27.  3. Specification [Total Pages 49] 3. Specification [Total Pages 49] 4. Computer Readable Form (CRF) 5. Reference to Related Applications 6. Statement Regarding Fed sponsored R & D 7. Reference to sequence listing, a table, or a computer program listing appendix 8. Background of the Invention 9. Brief Description of the Drawings (if filed) 9. Detailed Description 9. Claim(s) 9. Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 8] 10. Grain or Declaration 11. English Translation Document (if applicable) 12. Information Disclosure Authority 13. Perliminary Amendment 14. Return Receipt Postcard (IMPEP 603) 15. Grain or Declaration 16. Deletion Data Sheet under 37 CFR 1.76 17. Continuation/Idvisions with Box 18 completed) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 19. Continuation or Disclosure of the accompanying continuation or of the prior application on the disclosure of the accompanying continuation or of the prior application and is hereby incorporated by reference.  19. Correspondence address below  19. Correspondence address below  19. Country 10. Grain Amendment and application and is hereby incorporated by reference.  19. Correspondence address below  19. Country 10. Continuation No. (Attorney/Agent) 10. Correspondence address below  10. Continuation No. (Attorney/Agent) 11. Segistration No. (Attorney/Agent) 12. Continuation No. (Attorney/Agent) 13. Correspondence address below  14. Septiment Papers (cover sheet & Country Agent) 15. Correspondence address below  16. Corresponde	Annlicant claims s							uhmission
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- Statement Regarding Fed sponsored R & D - Reference to sequence listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.				b. Spe	cification Sequence	e Listing o	า:	
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Or a computer program issing appendix Background of the Invention Brief Summary of the Invention Claim(s) Detailed Description Claim(s) Abstract of the Disclosure  4.				ıi. 🗓 paper				
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- Claim(s) - Abstract of the Disclosure  4. 4 Drawing(s) (35 U.S.C. 113) [ Total Sheets 8 ] 5. Oath or Declaration [ Total Pages ] 1 11. English Translation Document (if applicable) Information Disclosure Statement (IDS)/PTO-1449   Citations Citations   a. X Newly executed (original or copy) a. Newly executed (original or copy) b. (for continuation divisional with Box 18 completed) i. DELETION OF INVENTOR(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d) (g) and 1.35(b) 16. Application Data Sheet under 37 CFR 1.76 17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  18. If a CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadventently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS    X Customer Number or Bar Code Label								
- Abstract of the Disclosure  4. 4 Drawing(s) (35 U.S.C. 113) [Total Sheets 8]  5. Oath or Declaration [Total Pages ]  5. Oath or Declaration [Total Pages ]  6.		puon		9.	,	•	neet &	*
5. Oath or Declaration a.  Newly executed (original or copy) a.  Newly executed (original or copy) b.  Copy from a prior application (37 CFR 1.63 (d)) b.  Copy from a prior application (37 CFR 1.63 (d)) c.  DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1 63(d)(2) and 1.33(b) 6.  Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76  18. If a CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  X Customer Number or Bar Code Label  Richard M. Lebovitz  Registration No. (Attorney/Agent)  37,067		Disclosure		10			x	
S. Oath or Declaration  a. X Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63 (dt))  b. Copy from a prior application (37 CFR 1.63 (dt))  c. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1 63 (ds) and 1.33(b)  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application and a Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP)  Prior application and an application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  X Custamer Number or Bar Code Label  Richard M. Lebovitz  Registration No. (Attorney/Agent)  37,067	4. 4 Drawing(s) (35 U	4 4 Drawing(s) (35 U.S.C. 113) [ Total Sheets 8 ]			, , , , , , , , , , , , , , , , , , , ,			
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Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Continuation Data Sheet under 37 CFR 1.76: Continuation Data	Copy from a prior application (37 CFR 1.63 (d)) Return Receipt Postcard (MPEP 503)				503)			
16.   Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   17.   Other:     Other:   Other:   Other:     Other:     Other:     Other:   Ot	i. DELETION OF INVENTOR(S)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)					nt(s)		
163(d)(2) and 1.33(b)    (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   (continuation Data Sheet. See 37 CFR 1.76:   Continuation Data Sheet under 37				16 [	Nonpublication R	equest un	der 35	U.S.C. 122
Application Data Sheet. See 37 CFR 1.76   17.  Other:   Other:   Other:     18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:   Continuation   Divisional   Continuation-in-part (CIP)   of pnor application No:   / Prior application information   Examiner   Group Art Unit   For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.    State   Correspondence address below   Patent Trademark office   Insert Gustoria   Inse	1 63(d)(2)	and 1.33(b)		10.		cant must	attach	form PTO/SB/35
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or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of pnor application No:  Prior application information Examiner Group Ant Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  X Customer Number or Bar Code Label (Insert Customer August 19. Correspondence address below)  Name  PATENT TRADEMARK OFFICE  Address  City State Zip Code  Country Telephone Fax  Name (Printl Type)  Richard M. Lebovitz Registration No. (Attorney/Agent) 37,067				<u> </u>	********			
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Address         State         Zip Code           Country         Telephone         Fax           Name (Printl/Type)         Richard M. Lebovitz         Registration No. (Attorney/Agent)         37,067		PATENT_TRADEM	ARK OFFICE					
City State Zip Code Country Telephone Fax  Name (Print/Type) Richard M. Lebovitz Registration No. (Attorney/Agent) 37,067	Address							
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	Name (Print/Type)	Richard M. Lebovitz		Registra	ation No. (Attorne	v/Agent)		37,067
Signature Date October 9, 2001		NX	- <u></u>		,		0	ctober 9, 2001

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

PTO/SB/17 (11-00)

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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

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Co	omplete if Known	
Application Number		
Filing Date		
First Named Inventor	Shu et al.	
Examiner Name		
Group Art Unit		
Attorney Docket No.	16U 102 R1	

METHOD OF PAYMENT	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES			
1. X indicated fees and credit any overpayments to	Large Small			
Deposit Account 501761	Entity Entity	Fee Paid		
Number	Fee Fee Fee Fee Fee Description	ree raiu		
Deposit Account Name OriGene Technologies, Inc.	105 130 205 65 Surcharge - late filing fee or oath			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1 17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
X Applicant claims small entity status.	139 130 139 130 Non-English specification			
See 37 CFR 1 27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. Payment Enclosed:  Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month			
Large Entity Small Entity	116 390 216 195 Extension for reply within second month			
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month			
Code (\$)	118 1,390 218 695 Extension for reply within fourth month			
101 710 201 355 Utility filing fee 370	128 1,890 228 945 Extension for reply within fifth month			
106 320 206 160 Design filling fee	119 310 219 155 Notice of Appeal			
107 490 207 245 Plant filing fee	120 310 220 155 Filing a brief in support of an appeal			
108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing			
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 370	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional			
Fee from Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)			
Total Claims 21 -20** = 1 X 9 = 9	143 440 243 220 Design issue fee			
Independent 6 - 3** = 3 X 42 = 126	144 600 244 300 Plant issue fee			
Multiple Dependent = =	122 130 122 130 Petitions to the Commissioner			
<u></u> -	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	126 180 126 180 Submission of Information Disclosure Stmt			
Fee Fee Fee Fee Description Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)			
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection			
104 270 204 135 Multiple dependent claim, if not paid	(37 ČFR § 1 129(a))			
109 80 209 40 ** Reissue ındependent claıms over original patent	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)			
and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 135	Other fee (specify)			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)			

SUBMITTED BY

Name (PrintlType)

Righard M. Lebovitz

Registration No. (Attorney/Agent)

Signature

Complete (if applicable)

Telephone 240-620-0268

Date October 9, 2001

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In re Application of:

SHU et al.

Serial No.:

Not Assigned

Examiner: Not Assigned

Filed:

October 3, 2001

Group Art Unit: Not Assigned

For:

Human EphA6 Gene and Polypeptide

## SEQUENCE DISCLOSURE UNDER §1.821

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Assistant Commissioner of Patents** Washington, DC 20231

Sir:

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This application contains a nucleotide and amino acid sequence disclosure. The information recorded in computer readable form on the attached diskette is identical to the attached written sequence listing (i.e., paper copy).

Respectfully submitted,

Richard M. Lebovitz (Reg. No. 37,067)

OriGene Technologies, Inc. 6 Taft Court, Suite 100

Rockville, MD 20850

Filed: October 9, 2001

**Docket No: 16U 102 R1**